

Under the Framework Reduction Act of 1995, no persons are re-

<p>Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.</p> <p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p>		<p>Complete if Known</p> <table border="1"> <tr> <td>Application Number</td> <td>09/953,638</td> </tr> <tr> <td>Filing Date</td> <td>September 17, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>George Richard Chapman, Jr.</td> </tr> <tr> <td>Group Art Unit</td> <td>1732</td> </tr> <tr> <td>Examiner Name</td> <td>Unknown</td> </tr> <tr> <td>Attorney Docket Number</td> <td>DW0054 USNA</td> </tr> </table>		Application Number	09/953,638	Filing Date	September 17, 2001	First Named Inventor	George Richard Chapman, Jr.	Group Art Unit	1732	Examiner Name	Unknown	Attorney Docket Number	DW0054 USNA
Application Number	09/953,638														
Filing Date	September 17, 2001														
First Named Inventor	George Richard Chapman, Jr.														
Group Art Unit	1732														
Examiner Name	Unknown														
Attorney Docket Number	DW0054 USNA														
Sheet	1	of	1												

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₈
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
~		WO	9945065	09-10-1999	Dyneon LLC		<input type="checkbox"/>
~		WO	0142363 A1	06-14-2001	The B.F. Goodrich Company		<input type="checkbox"/>
~		GB	1597179	09-03-1981	Shell Internationale Research Maatschappij B.V.		<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>

Examiner
Signature

Date Considered

1-84

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and initial below. Use this form with each communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter the Office that issued the document, by the tow-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols asindicated on the document under the heading "Kind of document". ⁶ A patentee is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments or amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

2

of 2

Complete if Known

Application Number	09/953,698-10/602,253
Filing Date	September 17, 2001
First Named Inventor	George Richard Chapman, Jr.
Group Art Unit	1732
Examiner Name	Unknown
Attorney Docket Number	DW0054 USNA

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
~		Dynamar™ Polymer Processing Additives Direct Addition During Resin Manufacture, Dyneon 2000, December 2000, 98-0504-1088-9	<input type="checkbox"/>
~		Dynamar™ Polymer Processing Additive Optical Microscopy Method for Dispersion Analysis in Polyolefins, Dyneon 1997, Pages 1-4, 98-0504-0270-4	<input type="checkbox"/>
~		R. J. ATHEY, R. C. THAMM, R. D. SOUFFIE, AND G. R. CHAPMAN, Viton® Free Flow – The Processing Behavior of Polyolefins Containing a Fluoroelastomer Additive*, DuPont Dow Elastomers Technical Information, May, 1992, Pages 1-7, H-284351	<input type="checkbox"/>
~		Viton® Free Flow – Masterbatch, DuPont Dow Elastomers Technical Information, May, 1992, H-28357-1	<input type="checkbox"/>
~		G. R. CHAPMAN, D. E. PRIESTER, AND R. D. SOUFFIE, Viton® Free Flow – Advances in the Use of Fluoroelastomers as Process Aids for Polyolefins*, DuPont Dow Elastomers Technical Information, May 1992, Pages 1-5, H-28437-1	<input type="checkbox"/>
~		Viton® Free Flow – Mechanism, DuPont Dow Elastomers Technical Information, May 1991, H-26355	<input type="checkbox"/>
		D. E. PRIESTER, DR. K. M. SHIKA, G. R. CHAPMAN, R. S. MC MINN AND DR. P. P. FERRANDEZ, Quality Control Techniques for Processing Additives, Antec '93, Pages 2528-2533.	<input type="checkbox"/>
		R. J. ATHEY, R. C. THAMM, R. D. SOUFFIE, AND G. R. CHAPMAN, The Processing Behavior of Polyolefins Containing a Fluoroelastomer Additive, Antec '93, Pages 1149-1153	<input type="checkbox"/>
~		TONE® Polymers P-767 and P-787 High Molecular Weight Caprolactone Polymers, 1999 Union Carbide, July 1999, UC-2032, Pages 1-16	<input type="checkbox"/>
~		Derwent Abstract – German Patent Application DE 19549389, published July 17, 1997	<input type="checkbox"/>
~		Derwent Abstract – Japanese Patent Application Kokai 7290550, published November 7, 1995	<input type="checkbox"/>

Examiner
Signature

Date
Considered

1-04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

* Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

5010-02-02
F-Substitute for form 1449A/PTO

SCHOOL OF LIBRARIES, UNIVERSITY OF TORONTO LIBRARIES

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

Complete If Known

Application Number	09/053,638 10/602,255
Filing Date	September 17, 2001
First Named Inventor	George Richard Chapman, Jr.
Group Art Unit	1732
Examiner Name	Unknown
Attorney Docket Number	DW0054 USNA

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner
Signature

Date _____
Consideration _____

1-84

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and initial below. Initial in margin of this form with copy and communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the tow-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols asindicated on the document under the heading "Kind of document". ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	09/953,038 10/602,253
Filing Date	September 17, 2001
First Named Inventor	George Richard Chapman, Jr.
Group Art Unit	1732
Examiner Name	Unknown
Attorney Docket Number	DW0054 USNA

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner
Signature

Date Considered

1-09

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231